



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hiroyuki OHTA**

Group Art Unit: **2818**

Serial Number: **10/721,080**

Examiner: **Long K. Tran**

Filed: **November 26, 2003**

Confirmation Number: **7846**

For: **SEMICONDUCTOR DEVICE HAVING STI WITHOUT DIVOT AND ITS
MANUFACTURE**

Attorney Docket Number: **032117**

Customer Number: **38834**

REQUEST FOR RETURN OF FORM PTO-1449

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

September 6, 2005

Sir:

Applicants filed an Information Disclosure Statement (IDS) on July 7, 2005. A copy of the IDS is attached hereto.

Applicants respectfully request that the Examiner return a copy of the Form PTO-1449 that accompanies the IDS, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is encouraged to telephone the undersigned if the Examiner has any questions concerning this Request.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Michael J. Caridi

Registration No. 56,171

Telephone: (202) 822-1100

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MJC/au/asc

Enclosure: Information Disclosure Statement filed on July 7, 2005
Date Stamped Post Card (copy)



U.S. Patent Application
Serial No.: 10/721,080
Applicant: Hiroyuki OHTA

Docket No: 032117
Filed: November 26, 2003

Papers filed herewith on: **July 7, 2005**

Information Disclosure Statement
PTO/SB/08 - (5) Foreign documents attached
Foreign Office Action dated May 17, 2005



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

MJC/meu



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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.97(c)(1)/(e)(1)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Date: July 7, 2005

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non-U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.


The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

Information Disclosure Statement under 1.97(c)(1)
Attorney Docket No. 032117
Serial No. 10/721,080

Each listed document was cited in a communication from a foreign patent office and the communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days before filing of this paper.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Michael J. Caridi
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Enclosures: PTO/SB/08
Document(s)
Foreign Communication



Combined Form PTO/SB/08A <u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u> (use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	10/721,080
		Confirmation Number	7846
		Filing Date	November 26, 2003
		First Named Inventor	Hiroyuki OHTA
		Art Unit	2818
		Examiner Name	Long K. Tran
		Attorney Docket Number	032117
Sheet		of	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2002-203895		7/19/02	Samsung Electronics Co. Ltd.	Yes-Abstr.
		JP	2002-289683		10/4/02	NEC Corp.	Yes-Abstr.
		JP	1-282836		11/14/89	Fujitsu Ltd.	Yes-Abstr.
		JP	01-315161		12/20/89	Fujitsu Ltd.	Yes-Abstr.
		JP	2002-208629		7/26/02	Toshiba Corp.	Yes-Abstr.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Office Action dated May 17, 2005 with Translation (JP 2002-376009).	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.